

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

RECEIVED
JUL 26 2004
TC 1700

Appl. No. : 10/019,086
Applicant : Paul Zientek
Filed : April 9, 2002
Title : Method of Producing a Diffractive Structure in Security Documents

TC/A.U. : 1756
Examiner : Martin J. Angebranndt

Docket No. : 322-00066

INFORMATION DISCLOSURE STATEMENT

Milwaukee, Wisconsin 53202
July 12, 2004

Commissioner for Patents
Mail Stop - Fee
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

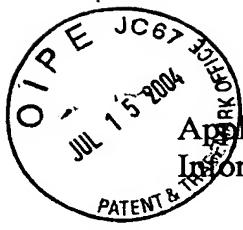
Kindly consider and make of record the references cited below. The references comprise U.S. and European patents relating to optical components including photo-oriented polymer networks.

US 5,389,698	US 5,602,661
US 6,160,597	US 6,144,428
EP 525,477	EP 611,981
EP 689,084	EP 689,065
EP 756,193	
WO 98/52077	

Kindly also consider and make of record references cited below noted in the Search Report of the corresponding European patent application.

US 5,331,443	EP 628,431
--------------	------------

Copies of non-U.S. references cited above are attached.



Appn. No. 10/019,086

Information Disclosure Statement dated July 12, 2004

RECEIVED
JUL 20 2004
TC 1700

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

Daniel D. Fetterley

Daniel D. Fetterley
(Reg. No. 20,323)

100 East Wisconsin Avenue, Suite 1100
Milwaukee, Wisconsin 53202
(414) 271-7590

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop - No Fee, P.O. Box 1450, Alexandria, VA 22313-1450 on the 12/12 day of July, 2004.

Daniel D. Fetterley	20,323
Name	Reg. No.
<i>Daniel D. Fetterley</i>	<u>7/12/04</u>
Signature	Date



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

RECEIVED
JUL 20 2004
T-1 PTO/STANARD/08-03

PTO/SB/08-4 (08-03)

Approved for use through 17/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/019,086
		Filing Date	April 9, 2002
		First Named Inventor	Paul Zientek
		Art Unit	1756
		Examiner Name	Martin J. Angebranndt
Sheet	1	of	2
		Attorney Docket Number	322-00066

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

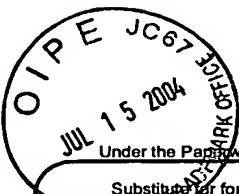
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 525,477 B1	02/03/1993	Chigrinov et al		
		EP 611,981 B1	08/24/1994	Schadt et al.		
		EP 628,431 A1	12/14/1994	Merkle, et al.		
		EP 689,065 B1	12/27/1995	Martin et al.		
		EP 689,084 B1	12/27/1995	Martin et al.		
		EP 756,193 A1	01/29/1997	Schadt et al.		

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~

RECEIVED
JUL 20 1993
PTO/SB/082 (08-93)

Approved for use through 07/31/2006. OMB 0651-0180

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

Substitute for form 1449/PTO PATENT & TRADEMARK OFFICE		Complete if Known	
		Application Number	10/019,086
		Filing Date	April 9, 2002
		First Named Inventor	Paul Zientek
		Art Unit	1756
		Examiner Name	Martin J. Angebranndt
		Attorney Docket Number	322-00066

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.